

COUNCILLOR & STAFF INTERACTION POLICY 2023

Contents

Preface	1
Councillor and Staff Interaction Policy	4
1. Introduction	4
2. Policy scope and application	4
3. Policy objectives	4
4. Principles, roles and responsibilities	5
5. Councillor requests system	7
6. Access to Council staff	9
7. Access to Council buildings	10
8. Appropriate and inappropriate interactions	10
9. Complaints	12
Schedule 1: Authorised staff contacts for councillors	13
Schedule 2: Authorised contact flowchart	14

Preface

Positive working relationships between councillors and staff: a Council's key asset

Positive, professional working relationships between councillors and staff are a key element of any council's success. If relationships between councillors and staff are functioning effectively, Council is more likely to perform effectively. If these relationships break down, it can lead to dysfunction, create a potential corruption risk¹, and ultimately Council's performance will suffer.

A good relationship between councillors and staff is based, in large part, on both having a mutual understanding and respect for each other's roles and responsibilities. These are defined in the *Local Government Act 1993* (the LG Act) and the *Model Code of Conduct for Local Councils in NSW* (the Model Code of Conduct).

In broad terms, a councillor's role is a strategic one. As members of the governing body, councillors are responsible not only for representing the community, but also for setting the strategic direction of Council and keeping its performance under review. A comprehensive outline of a councillor's role is provided in Part 4 of this Policy.

The role of Council's staff, under the leadership of the Chief Executive Officer, is to carry out the day-to-day operations of Council and to implement the decisions, plans, programs and policies adopted by the governing body.

Access to information: the key to the relationship

Councillors need information about Council's strategic position and performance to perform their civic functions effectively. The Chief Executive Officer and staff are responsible for providing councillors with this information to facilitate the decision-making process.

Given councillors' role in setting Council's strategic direction and keeping its performance under review, councillors are entitled to request information about a range of issues.

However, in requesting information, councillors should not be seeking to interrogate the minutiae of Council's operations or to direct or influence staff in the performance of their duties. Councillors should also recognise that Council's resources are finite, and they need to be mindful of the impact of their requests.

Above all, interactions between councillors and staff should be positive, respectful and professional.

Official capacity versus private capacity

It is also inevitable that councillors and Council staff will engage with Council in their private capacity. This can be for something as simple as borrowing a book from the Library, to more complex matters, such as submitting a development application.

In these circumstances, it is vital that councillors and Council staff do not seek to use, or appear to use, their position within Council to obtain a private benefit. To do so could be seen as an attempt

¹ As highlighted by the NSW Independent Commission Against Corruption's Operation Dasha
<https://www.icac.nsw.gov.au>

to exert pressure on councillors and/or Council staff with a view to obtaining preferential treatment. Such conduct has the potential to undermine both the integrity of Council's decision-making processes, as well as the community's confidence in Council, and so must be avoided.

The development and intent of this Policy

This Councillor and Staff Interaction Policy has been developed by Edward River Council, using the better practice model provided by the NSW Office of Local Government.

The Model Policy was developed in consultation with councils and other key stakeholders, including Local Government NSW, the NSW Independent Commission Against Corruption, Local Government Professionals NSW and the United Services Union. The Model Policy provides an exemplar approach, incorporating examples of best practice from a diverse range of NSW councils. At its core, the Model Policy, and hence Edward River Council's Policy, has three main goals:

- to establish a framework by which councillors can access the information they need to perform their civic functions,
- to promote positive and respectful interactions between councillors and staff, and
- to advise where concerns can be directed if there is a breakdown in the relationship between councillors and staff.

The Councillor and Staff Interaction Policy is structured as follows:

Part 1	Introduction
Part 2	Sets out the scope of this Policy
Part 3	Describes the Policy's objectives
Part 4	Sets out the respective roles and responsibilities of councillors and staff and the principles that should guide their interactions
Part 5	Sets out the administrative framework for the councillor requests system
Part 6	Identifies which staff councillors can contact directly
Part 7	Addresses councillors' entitlement to access Council buildings
Part 8	Describes appropriate and inappropriate interactions between councillors and staff
Part 9	Provides advice about who complaints can be made to
Schedule 1	Contains a list of staff councillors can contact directly under Part 6 of this Policy
Schedule 2	Provides a flowchart showing how authorised interactions may be made between councillors and staff.



Enforcement

Clause 3.1(b) of the Code of Conduct provides that Council officials must not conduct themselves in a manner that is contrary to Council's policies. A breach of this Policy may also constitute a breach of Council's code of conduct.

Concerns or complaints about the administration of Council's councillor request system should be raised with the Chief Executive Officer (or the mayor in the case of a complaint about the Chief Executive Officer). If the matter cannot be resolved locally, councillors may raise their concerns with the Office of Local Government.

Councillor and Staff Interaction Policy

1. Introduction

- 1.1 *The Councillor and Staff Interaction Policy* (the Policy) provides a framework for councillors when exercising their civic functions by specifically addressing their ability to interact with, and receive advice from, authorised staff.
- 1.2 The Policy complements and should be read in conjunction with the *Edward River Council Code of Conduct* (the Code of Conduct).
- 1.3 The aim of this Policy is to facilitate a positive working relationship between councillors, as the community's elected representatives, and staff, who are employed to administer the operations of Council. The Policy provides direction on interactions between councillors and staff to assist both parties in carrying out their day-to-day duties professionally, ethically and respectfully.
- 1.4 It is important to have an effective working relationship that recognises the important but differing contribution both parties bring to their complementary roles.

2. Policy scope and application

- 2.1 This Policy applies to all councillors and council staff.
- 2.2 This Policy applies to all interactions between councillors and staff, whether face-to-face, online (including social media and virtual meeting platforms), by phone, text message or in writing.
- 2.3 This Policy applies whenever interactions between councillors and staff occur, including inside or outside of work hours, and at both council and non-council venues and events.
- 2.4 This Policy does not confer any delegated authority upon any person. All delegations to staff are made by the Chief Executive Officer (Chief Executive Officer).
- 2.5 The Code of Conduct provides that Council officials must not conduct themselves in a manner that is contrary to Council's policies. A breach of this Policy will be a breach of the Code of Conduct.

3. Policy objectives

- 3.1 The objectives of the Policy are to:
 - a) establish positive, effective and professional working relationships between councillors and staff defined by mutual respect and courtesy
 - b) enable councillors and staff to work together appropriately and effectively to support each other in their respective roles

- c) ensure that councillors receive advice in an orderly, courteous and appropriate manner to assist them in the performance of their civic duties
- d) ensure councillors have adequate access to information to exercise their statutory roles
- e) provide direction on, and guide councillor interactions with, staff for both obtaining information and in general situations
- f) maintain transparent decision-making and good governance arrangements
- g) ensure the reputation of Council is enhanced by councillors and staff interacting consistently, professionally and positively in their day-to-day duties
- h) provide a clear and consistent framework through which breaches of the Policy will be managed in accordance with Council's Code of Conduct.

4. Principles, roles and responsibilities

- 4.1 Several factors contribute to a good relationship between councillors and staff. These include goodwill, understanding of roles, communication, protocols, and a good understanding of legislative requirements.
- 4.2 Council's governing body and its administration (being staff within the organisation) must have a clear and sophisticated understanding of their different roles, and the fact that these operate within a hierarchy. The administration is accountable to the Chief Executive Officer, who in turn, is accountable to Council's governing body.
- 4.3 Section 232 of the *Local Government Act 1993* (the LG Act) states that the role of a councillor is:
 - a) to be an active and contributing member of the governing body
 - b) to make considered and well-informed decisions as a member of the governing body
 - c) to participate in the development of Integrated Planning and Reporting documents
 - d) to represent the collective interests of residents, ratepayers and the local community
 - e) to facilitate communication between the local community and the governing body
 - f) to uphold and represent accurately the policies and decisions of the governing body
 - g) to make all reasonable efforts to acquire and maintain the skills necessary to perform the councillor role.
- 4.4 The administration's role is to advise the governing body, implement Council's decisions and to oversee service delivery.
- 4.5 It is beneficial if the administration recognises the complex political environments in which elected members operate and acknowledges that they work within a system that is based on democratic governance. Councillors similarly need to understand that it is a highly

complex task to prepare information and provide quality advice on the very wide range of issues that Council operations cover.

- 4.6 Council commits to the following principles to guide interactions between councillors and staff:

PRINCIPLE:	ACHIEVED BY...
Equitable and consistent	Ensuring appropriate, consistent and equitable access to information for all councillors within established service levels
Considerate and respectful	Councillors and staff working supportively together in the interests of the whole community, based on mutual respect and consideration of their respective positions
Ethical, open and transparent	Ensuring that interactions between councillors and staff are ethical, open, transparent, honest and display the highest standards of professional conduct
Fit for purpose	Ensuring that the provision of equipment and information to councillors is done in a way that is suitable, practical and of an appropriate size, scale and cost for a group of nine people.
Accountable and measurable	Providing support to councillors in the performance of their role in a way that can be measured, reviewed and improved based on qualitative and quantitative data

- 4.7 Councillors are members of Council's governing body, which is responsible for directing and controlling the affairs of Council in accordance with the LG Act. Councillors need to accept that:
- responses to requests for information from councillors may take time and consultation to prepare and be approved prior to responding
 - staff are not accountable to them individually
 - they must not direct staff *except* by giving appropriate direction to the Chief Executive Officer by way of a Council or committee resolution, or by the mayor exercising their functions under section 226 of the LG Act
 - they must not, in any public or private forum, direct or influence, or attempt to direct or influence, a member of staff in the exercise of their functions
 - they must not contact a member of staff on Council-related business unless in accordance with this Policy
 - they must not use their position to attempt to receive favourable treatment for themselves or others.

- 4.8 The Chief Executive Officer is responsible for the efficient and effective day-to-day operation of Council and for ensuring that the lawful decisions of Council are implemented without undue delay.

Council staff need to understand:

- a) they are not accountable to individual councillors and do not take direction from them. They are accountable to the Chief Executive Officer, who is in turn accountable to Council's governing body
- b) they should not provide advice to councillors unless it has been approved by the Chief Executive Officer or a staff member with a delegation to approve advice to councillors
- c) they must carry out reasonable and lawful directions given by any person having the authority to give such directions in an efficient and effective manner
- d) they must ensure that participation in political activities outside the service of Council does not interfere with the performance of their official duties
- e) they must provide full and timely information to councillors sufficient to enable them to exercise their civic functions in accordance with this Policy.

5. Councillor requests system

- 5.1 Councillors have a right to request information provided it is relevant to the exercise of their civic functions. This right does not extend to matters about which a councillor is merely curious.
- 5.2 Councillors do not have a right to request information about matters that they are prevented from participating in decision-making on because of a conflict of interest, unless the information is otherwise publicly available.
- 5.3 The Chief Executive Officer may identify Council support staff (ie the Executive Assistant to the Chief Executive Officer) under this Policy for the management of requests from councillors.
- 5.4 Councillors can use the councillor requests system to:
- a) request information or ask questions that relate to the strategic position, performance or operation of Council
 - b) bring concerns that have been raised by members of the public to the attention of staff
 - c) request ICT or other support from Council's administration
 - d) request that a staff member be present at a meeting (other than a meeting of Council) for the purpose of providing advice to the meeting.
- 5.5 Councillors must, to the best of their knowledge, be specific about what information they are requesting, and make their requests respectfully. Where a councillor's request lacks

specificity, the Chief Executive Officer or a staff member authorised to manage the matter is entitled to ask the councillor to clarify their request and the reason(s) why they are seeking the information.

- 5.6 Staff must make every reasonable effort to assist councillors with their requests and do so in a respectful manner.
- 5.7 *Customer Requests*: Requests made by councillors on behalf of residents will be directed through Council's Customer Request Management system in the first instance. Councillors are required to provide complete information in this regard to enable the effective and efficient management of customer requests, including the resident's name, contact information and a description about the nature of the customer request and outcome sought.
- 5.8 *Councillor Requests for Information*: Councillor requests for information will be managed through the Customer Request System. The Chief Executive Officer or the staff member authorised to manage a councillor request will provide an acknowledgement of the Request for Information within two (2) business days. For simple matters, councillors will receive a final response within ten (10) business days. For complex matters and where a response cannot be provided within that timeframe, the councillor will be advised within ten (10) business days, and the information will be provided as soon as practicable thereafter.

Requests for Decision: Councillor requests requiring the expenditure of funds or redirection of resources are to be made by way of a Notice of Motion in accordance with Council's adopted Code of Meeting Practice.

- 5.9 Requests under clause 5.4 (d) must be made at least three (3) business days before the meeting. The Chief Executive Officer, or members of staff who are listed at Schedule 1 of this Policy, are responsible for determining:
- a) whether a staff member can attend the meeting; and
 - b) which staff member will attend the meeting.

Staff members who attend such meetings must be appropriately senior and be subject-matter experts on the issues to be discussed at the meeting.

- 5.10 Councillors are required to treat all information provided by staff appropriately and to observe any confidentiality requirements.
- 5.11 Staff will inform councillors of any confidentiality requirements for information they provide so councillors can handle the information appropriately.
- 5.12 Where a councillor is unsure of confidentiality requirements, they should contact the Chief Executive Officer, or the staff member authorised to manage their request.
- 5.13 The Chief Executive Officer may refuse access to information requested by a councillor if:
- a) the information is not necessary for the performance of the councillor's civic functions,
or

- b) if responding to the request would, in the Chief Executive Officer's opinion, result in an unreasonable diversion of staff time and resources, or
 - c) the councillor has previously declared a conflict of interest in the matter and removed themselves from decision-making on it, or
 - d) the Chief Executive Officer is prevented by law from disclosing the information.
- 5.14 Where the Chief Executive Officer refuses to provide information requested by a councillor, they must act reasonably. The Chief Executive Officer must advise a councillor in writing of their reasons for refusing access to the information requested.
- 5.15 Where a councillor's request for information is refused by the Chief Executive Officer on the grounds referred to under clause 5.12 (a) or (b), the councillor may instead request the information through a resolution of Council by way of a Notice of Motion. This clause does not apply where the Chief Executive Officer refuses a councillor's request for information under clause 5.14 (c) or (d).
- 5.16 Nothing in clauses 5.12, 5.13, and 5.14 prevents a councillor from requesting the information in accordance with the *Government Information (Public Access) Act 2009*.
- 5.17 Where a councillor persistently makes requests for information which, in the Chief Executive Officer's opinion, result in a significant and unreasonable diversion of staff time and resources, Council may, on the advice of the Chief Executive Officer, resolve to limit the number of requests the councillor may make.
- 5.18 Councillor requests are State records and must be managed in accordance with the *State Records Act 1998*.
- 5.19 A report will be provided to Council every six (6) months regarding the performance and efficiency of the councillor requests system against established key performance indicators.

6. Access to Council staff

- 6.1 Councillors may directly contact members of staff who are listed in Schedule 1 of this Policy. The Chief Executive Officer may amend this list at any time and will advise councillors promptly of any changes.
- 6.2 Councillors can contact staff listed in Schedule 1 about matters that relate to the staff member's area of responsibility.
- 6.3 Where a staff member has been assigned responsibility for a Council committee (for example, in Secretariat role), councillors may contact that assigned staff member directly in relation to the business of that committee only.
- 6.4 Councillors should, as far as practicable, only contact staff during normal business hours.
- 6.5 If councillors would like to contact a member of staff not listed in Schedule 1, they must receive permission from the Chief Executive Officer.

- 6.6 If a councillor is unsure which authorised staff member can help with their enquiry, they can contact the Chief Executive Officer or the Executive Assistant to the Chief Executive Officer who will provide advice about which authorised staff member to contact.
- 6.7 The Chief Executive Officer or a member of Council's Executive Leadership Team may direct any staff member to contact councillors to provide specific information or clarification relating to a specific matter.
- 6.8 A councillor or member of staff must not take advantage of their official position to improperly influence other councillors or members of staff in the performance of their civic or professional duties for the purposes of securing a private benefit for themselves or for another person. Such conduct should be immediately reported to the Chief Executive Officer or Mayor in the first instance, or alternatively to the Office of Local Government, NSW Ombudsman, or the NSW Independent Commission Against Corruption.

7. Access to Council buildings

- 7.1 Councillors are entitled to have access to the Council Chamber, Committee Room, Mayor's office (subject to availability) and public areas of Council's buildings during normal business hours for meetings. Councillors needing access to these facilities at other times must obtain approval from the Chief Executive Officer.
- 7.2 Councillors must not enter staff-only areas of Council buildings without the approval of the Chief Executive Officer. Where access to staff areas has been approved by the Chief Executive Officer, all interactions with staff are to be in accordance with this Policy.

8. Appropriate and inappropriate interactions

- 8.1 Examples of appropriate interactions between councillors and staff include, but are not limited to, the following:
 - a) councillors and Council staff are courteous and display a positive and professional attitude towards one another
 - b) Council staff ensure that information necessary for councillors to exercise their civic functions is made equally available to all councillors, in accordance with this Policy and any other relevant Council policies
 - c) Council staff record the advice they give to councillors in the same way they would if it was provided to members of the public
 - d) Council staff, including Council's Executive Team members, document councillor requests via the councillor requests system
 - e) Council Meetings and councillor briefings are used to establish positive working relationships and help councillors to gain an understanding of the complex issues related to their civic duties

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- f) councillors and Council staff feel supported when seeking and providing clarification about Council-related business
 - g) councillors forward requests through the councillor requests system and staff respond in accordance with the timeframes stipulated in this Policy.
- 8.2 Examples of inappropriate interactions between councillors and staff include, but are not limited to, the following:
- a) councillors and Council staff conducting themselves in a manner which:
 - i) is contrary to their duties under the *Work Health and Safety Act 2011* and their responsibilities under any policies or procedures adopted by Council to ensure workplace health and safety
 - ii) constitutes harassment and/or bullying within the meaning of the Code of Conduct, or is unlawfully discriminatory
 - b) councillors approaching staff and staff organisations to discuss individual or operational staff matters (other than matters relating to broader workforce policy such as, but not limited to, organisational restructures or outsourcing decisions), grievances, workplace investigations and disciplinary matters
 - c) staff approaching councillors to discuss individual or operational staff matters (other than matters relating to broader workforce policy such as, but not limited to, organisational restructures or outsourcing decisions), grievances, workplace investigations and disciplinary matters
 - d) subject to clause 5.12, staff refusing to give information that is available to other councillors to a particular councillor
 - e) councillors who have personal business with Council (for example, they have lodged a development application, or are querying their own rates notice), discussing the matter with staff in any circumstance other than the normal resident/ratepayer process. That is, they must not approach staff to discuss personal business in staff-only areas of Council or in social or other settings outside of Council
 - f) councillors being overbearing or threatening to staff
 - g) staff being overbearing or threatening to councillors
 - h) councillors making personal attacks on staff or engaging in conduct towards staff that would be contrary to the general conduct provisions in Part 3 of the Code of Conduct in public forums, including on social media
 - i) councillors directing or pressuring staff in the performance of their work, or recommendations they should make in their reports to the Executive Team or Council
 - j) staff providing ad hoc advice to councillors without recording or documenting the interaction as they would if the advice was provided to a member of the community.

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- 8.3 Where a councillor engages in conduct that, in the opinion of the Chief Executive Officer, puts the health, safety or welfare of staff at risk, the Chief Executive Officer may restrict the councillor's access to staff.
- 8.4 Any concerns relating to the conduct of staff under this Policy should be raised with the Chief Executive Officer.

9. Complaints

- 9.1 Complaints about a breach of this Policy should be made to the Chief Executive Officer (if the complaint is about a councillor or member of Council staff), or the Mayor (if the complaint is about the Chief Executive Officer). Where the complaint is about both the Chief Executive Officer and Mayor, it should be made to the Complaints Coordinator under delegation by the Chief Executive Officer.
- 9.2 Clause 9.1 does not operate to prevent matters being reported to the NSW Office of Local Government, the NSW Ombudsman, the NSW Independent Commission Against Corruption or any other relevant external agency.

Schedule 1: Authorised staff contacts for councillors

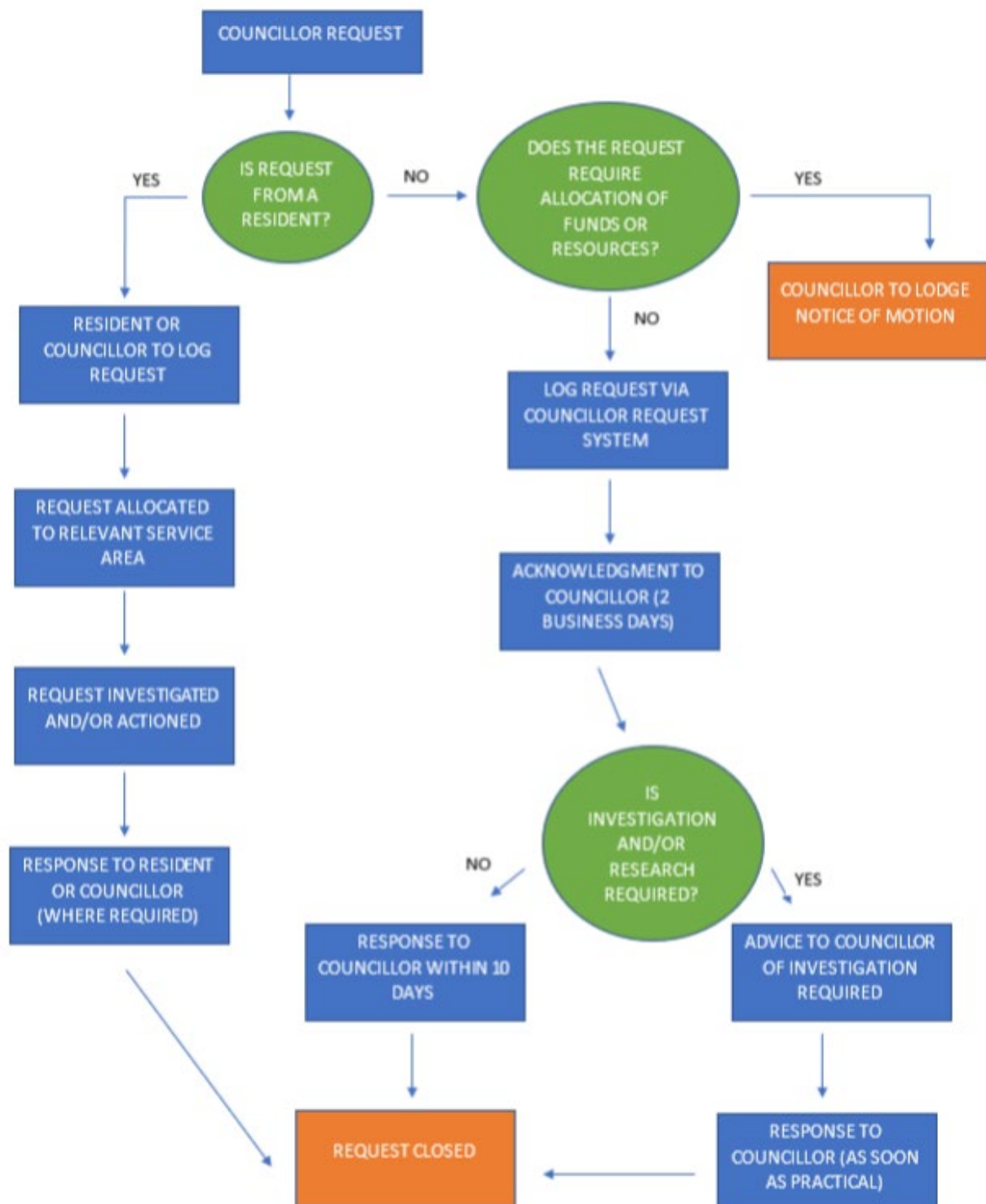
1. Clause 6.1 of this Policy provides that councillors may directly contact members of staff who are listed below. The Chief Executive Officer may amend this list at any time.
2. Councillors can contact staff listed below about matters that relate to the staff member's area of responsibility.
3. Councillors should, as far as practicable, only contact staff during normal business hours.
4. If councillors would like to contact a member of staff not listed below, they must receive permission from the Chief Executive Officer or their delegate.
5. If a councillor is unsure which authorised staff member can help with their enquiry, they can contact the Chief Executive Officer or the Executive Assistant to the Chief Executive Officer who will provide advice about which authorised staff member to contact.
6. In some instances, the Chief Executive Officer or a member of Council's Executive Leadership Team may direct a Council staff member to contact councillors to provide specific information or clarification relating to a specific matter.

Edward River Council authorised staff contacts for councillors:

POSITION
Executive Assistant to the Chief Executive Officer
Director Corporate Services
Director Infrastructure



Schedule 2: Authorised contact flowchart



MANAGEMENT PRACTICE VERSION CONTROL

Title	Councillor and Staff Interaction Policy		
ECM Doc ID	Doc ID 254038		
Date Adopted	28 February 2023		
Council Resolution No	2023/0321/12.1		
Responsible Officer	Director Corporate Services		
Version Number	Modified By	Modifications Made	Date modified
4	Senior Governance Officer	Policy revised in line with NSW Office of Local Government's Model Policy	21 March 2023