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POLICY OBJECTIVE

Edward River Council is committed to observing the specific legislative and governance requirements and other considerations that apply to the decisions councils make and the way they exercise their functions in the four weeks leading up to an election when the caretaker period applies.

The objectives of the Policy are to:

- Ensure Councillors, community and staff are aware of what can and cannot be done during the election caretaker period;
- Ensure Council complies with the election period caretaker provisions of the Local Government Act 1993 (the Act);
- Ensure Council continues to provide high standards of service and governance to the community during an election period;
- Avoid making significant new policies or decisions that could unreasonably bind a future Council;
- Ensure that public resources, including staff resources, are not used in election campaigning or in a way that may improperly influence the result of an election, or improperly advantage existing Councillors as candidates in the election.

LEGISLATIVE REQUIREMENTS

- Local Government Act 1993
- Model Code of Conduct for Local Councils in NSW
- Council's Code of Conduct
- Local Government Regulations (General) 2005
- Environmental Planning and Assessment Act 1979

CONSULTATIONS

- Office of Local Government – Pre election Guide
- NSW Electoral Commission

SCOPE

This policy applies to Councillors, Chief Executive Officer, Directors and officers with delegated authority for the caretaker period.

The caretaker period for the Saturday 14 September 2024 local government election starts midnight Friday 16 August 2024 and ends on Friday 13 September 2024.

POLICY STATEMENT

1. Election Preparation

1.1. Coordinating an election

To engage the NSW Electoral Commission (NSWEC) to run Edward River Council's 2024 Local Government Election, relevant Council officers have been and will continue to liaise with representatives of the NSWEC to facilitate the coordination of the election.



These officers will include the Chief Executive Officer, Executive Management Team, Manager Governance Safety and Risk and Governance Coordinator.

The Council Officers listed above have and will assist with organising the following:

- preparation of non-residential roll.
- scoping of pre-poll voting venues.
- scoping of Returning Officer venue.
- information for prospective candidates relevant to Edward River Council.
- preparation of Councillor induction training programs after election results are declared.

1.2. Non- residential rolls

The non-residential roll close on 4 August 2024, forty (40) days prior to the election day. Information on non-residential eligibility can be found [on the NSW Electoral Commission website](https://www.elections.nsw.gov.au/) and nomination forms can be found on Council's website www.edwardriver.nsw.gov.au.

2. Key Dates

Key dates for 2024 Local Government elections	
27 June 2024	Candidate Briefing Session 1 with LGNSW Facilitator – 5.30pm-9pm
28 June 2024	Candidate Briefing Session 2 with LGNSW Facilitator – 9am-12.30pm
22 July 2024	Advertising of enrolments
29 July 2024	Last day to lodge half yearly political donations disclosure
5 August 2024	Start of regulated period for each electoral material 8am: Lodgements of nominations open 6pm: Close of roll for the purposes of being a candidate, nominator and roll printing
6 August 2024	Lodgement of postal vote applications open
14 August 2024	Registration of electoral materials open 12pm: Close of nominations and close of registration of candidates and groups
15 August 2024	2pm: Ballet paper draw conducted 2pm: Uncontested elections declared
19 August 2024	Postal packs distribution begins
2 September 2024	Pre-poll voting opens Display of registered electoral material website commences
6 September 2024	5pm: Registration of electoral material closes
9 September 2024	Telephone voting registration and voting opens 5pm: Postal vote applications close Registration of third-party campaigners close
13 September 2024	Pre-poll voting period closes Telephone voting registration closes
14 September 2024	Election day: 8am – 6pm 1pm: Telephone voting closes 6pm: Regulated period for electoral material ends
22 September 2024	Last day to lodge annual electoral expenditure disclosure
27 September 2024	6pm: End of postal vote return



30 September 2024	Progressive distribution of preferences
1 October 2024	Progressive distribution of preferences Progressive declaration of results
2 October 2024	Progressive distribution of preferences Progressive declaration of results
3 October 2024	Progressive declaration of results
7 October 2024	Public holiday

3. Caretaker Period

In the four weeks preceding the date of an ordinary election, councils enter a caretaker period. The caretaker period for the Saturday 14 September 2024 local government election starts midnight Friday 16 August 2024 and ends on Friday 13 September 2024.

During this period, restrictions are placed on Council's functions.

3.1 *Decision making*

Council is committed to complying with Clause 393B of the Regulations which states:

The following functions of a council must not be exercised by the council, or the Chief Executive Officer or any other delegate of the council, during a caretaker period:

- a) entering a contract or undertaking involving the expenditure or receipt by the council of an amount equal to or greater than \$150,000 or 1% of the council's revenue from rates in the preceding financial year which was \$7.776m equating to a cap of \$77,760 (whichever is the larger);*
- b) determine a "controversial development application", except where a failure to make such a determination would give rise to a deemed refusal, or such a deemed refusal arose before the commencement of the caretaker period;*
- c) appoint or reappoint the council's Chief Executive Officer (except for temporary appointments).*

In certain circumstances, these functions may be exercised with the approval of the Minister.

3.2 *Decisions at Council meeting or officers acting under delegated authority*

Council meetings may be held during the caretaker period however the following decisions will not be made during the caretaker period by Council or an officer acting under delegation:

- acquisition of land;
- adoption or amendment of policies, protocols, strategies, masterplans or frameworks;
- adoption or amendment of the Community Strategic Plan or Council's Delivery Program;
- adoption of a revised budget;
- allocation of grants or awards to individuals or organisations;
- appointing representatives to Council committees;
- endorsing submissions to government or public bodies;
- entering into a contract or entrepreneurial agreements exceeding the amount specified in the Regulation;
- entering into agreements deeds or leases;
- hearing of submissions or deputations from the community;
- naming or re-naming of roads, reserves or features;



- reviewing of programs or service provision; and
- any other decision that the Chief Executive Officer considers may affect voting at the election or is a decision that can be made outside of the caretaker period.

Decisions made prior to the caretaker period by Council or by an officer under delegation can be implemented during the caretaker period.

3.3 Council meetings and Councillor briefings

Ordinary Council meetings may continue to be held during the caretaker period. As the standard Council meeting agenda contains item(s) that may lead to discussing election issues, Council will not consider the following during the caretaker period:

- petitions, joint letters and deputations
- public forum
- questions on notice
- notices of motion
- Mayoral Minute
- matters of an urgent nature.

Councillor briefings are a forum for information sharing, not decision-making. Councillor briefings may be held during the caretaker period; however, Councillor briefing material will relate only to factual matters or to existing Council services and will not relate to matters that might be perceived to be connected with a candidate's election campaign.

The Chief Executive Officer will have final approval of topics presented at Councillor briefings during the caretaker period. Council meeting public forum sessions will not be held during the caretaker period.

3.4 Council Resources

Council resources (including council information) are public resources and must be used ethically, effectively, efficiently and carefully. The rules governing the use of council resources for election purposes are prescribed under the Code of Conduct and extends to comply with the following points:

- The Councillor Facilities and Expenses Policy still applies during the caretaker period. Council will continue to support Councillors with their normal civic duties and appropriate out of pocket expenses will be covered.
- Council resources (including, but not limited to, offices and equipment, phones and tablets, logos, letterheads, photocopying, corporate clothing etc.) must not be used by Councillors and officials for electoral matters and campaigns.
- No election campaigning material is to be prepared or produced by Council, nor distributed from or displayed in or on Council land, facilities, libraries or community noticeboards.
- Councillors must ensure that operational requests can only be made on behalf of themselves (e.g. issues with roads, footpaths, trees, waste management and general amenity etc.) are made through Council's Request Management system.
- Request for Council records can be submitted under the Government Information Public Access (GIPA) Act 2009. If a formal application is made during the election period, the usual requirements of this Act will apply.
- Databases and mailing lists held by the organisation remain the property of Council, are subject to the requirements of the Privacy and Personal Information Protection (PPIP) Act 1998 and are therefore not available to members of the public, candidates or as Councillors.
- The use of Council's internet and intranet sites, including Council's social media sites for any activity to do with election campaigning is prohibited. There will be no links from the Council's website to a candidate's private website.



- Council facilities booked for the election campaign purposes will be let at the same rate to all hirers.
- No Mayoral column will be published during the caretaker period.
- Throughout the election period, including the time in which the Council is in a caretaker period, Councillors are required to adhere to Councils Code of Conduct.

Any breach of Council resources during caretaker are grounds for disciplinary action.

3.5 Access to Council information

Councillors will continue to receive information necessary to fulfil their existing roles as a Councillor during the caretaker period (e.g. information related to Council meetings).

Council staff must not offer comment to the public about any Councillors or candidates, except to provide contact details for current Councillors.

3.6 Community consultation, engagement, and events

Council will limit community consultation and engagement during the caretaker period. Regular statutory planning consultations/engagement involving Council staff, councillors and interested persons may continue. Discussions at these planning meetings must not involve electoral matters or significant community consultation on major developments, strategy or policy issues.

Council will not schedule public Council events outside of the existing program during the caretaker period. Councillors can attend and accept invitations for externally organised events (e.g. business breakfasts, annual general meetings, launches, openings and exhibitions) held during the caretaker period. However, they will not be provided with administration assistance and the event must not be used for electioneering.

3.7 Publications and communications

Council will, where possible, reduce media releases. The Chief Executive Officer is required to approve all media releases, responses and public comments and will determine the appropriate spokesperson in relation to specific issues. The Chief Executive Officer will be Council's spokesperson if the issue relates to electoral matters.

The Chief Executive Officer will have final sign-off on all publications produced and distributed by the Council during the caretaker period. The Chief Executive Officer must certify that the publication does not contain electoral matter. This should be broadly interpreted to refer to documents that are produced for the purpose of communicating with the community including:

- Council newsletters.
- Advertisements and notices.
- Media releases and response to media enquiries.
- Leaflets, brochures, stickers etc.
- Mail outs to multiple addresses.
- Social media content.

The Policy applies to both hard copy publications and publications on the internet.

Publications that were published prior to the commencement of the caretaker period and publications that are required to be published in accordance with any Act or Regulation do not require certification by the Chief Executive Officer.

Council's website will function as normal during the caretaker period, however Councillor profile pages will be limited to names, contact details and membership of committees. Any references to the election on the website will be restricted to process only.

During the election period Council-managed social media sites must not be used for election campaigning. Any publication of comments or new content on Council-managed social media sites will require approval by the Chief Executive Officer during an election period.

DEFINITIONS

Term	Definition
Caretaker period	The period of 4 weeks preceding the date of an ordinary election (clause 393B(3) of the Local Government Regulations (General) 2005)
Chief Executive Officer	1st tier management position and titled as such
Controversial Development Application	A development application for designated development under section 4.10 of the Environmental Planning and Assessment Act 1979 for which at least 25 persons have made submissions during community consultation
Councillor	An elected member of Council
Council Officer	A member of Council staff
Electoral Material	Means anything, including without limitation, a how-to-vote card, poster or advertisement, containing electoral matter (whether in a tangible or an electronic form).
Electoral Campaign Material	Any matter that is intended or calculated or likely to affect or is capable of affecting the result of any election held or to be held or that is intended or calculated or likely to influence or is capable of influencing an elector in relation to the casting of his or her vote at any election
Regulated period	The regulated period starts when the electoral rolls close 40 days before election day and ends on election day

POLICY VERSION CONTROL

Title	Local Government Election- Caretaker Period			
ECM Doc Set ID	304159			
Date Adopted	21/05/2024			
Council Minute No.	2024/0521/12.5			
Responsible Officer	Manager Governance, Safety and Risk			
Version Number	Modified By	Modifications Made	Date modified. and Approved by Council	Council Minute Number
2	Governance	Rewrite of Policy	3/06/2024	
3	Governance	Doc Set ID added	3/06/2024	